

09-04-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on August 30, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL631005993US, addressed to the Commissioner for Patents, Washington, DC 20231.


Aurelia Sanchez

Attorney Docket No.: LAM1P124D1

First Named Inventor: BAILEY III

09/30/01
1c978 U.S. PTO
09/943806

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Andrew D. Bailey III, Alan M. Schoepp, Michael G. R. Smith, Andras Kuthi

For: **TEMPERATURE CONTROL SYSTEM FOR PLASMA PROCESSING APPARATUS**

Assigned to : Lam Research Corporation

This application is a Divisional application of prior Application No.: 09/439,675, from which priority under 35 U.S.C. §120 is claimed.

Application Elements:

- ☒ **29** Pages of Specification, Claims and Abstract
- ☒ **13** Sheets of formal Drawings
- ☒ **03** Pages of Combined Declaration and Power of Attorney
- ☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☒ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard

Amendments

- ☒ Cancel in this application original claims 1-22 and 28-31 of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

- ☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

| | (Col. 1) Total Claims | | (Col. 2) Claims | (Col. 3) Present Extra | Rate | Additional Fee |
|---|--------------------------|-------|--------------------|---------------------------|-------|----------------|
| TOTAL | 05 | MINUS | 20 | = 00 | x 18 | |
| INDEP. | 01 | MINUS | 3 | = 00 | x 80 | |
| [] First presentation of multiple dependent claim | | | | | \$270 | |
| Basic Filing Fee under 37 C.F.R. §1.16(a) | | | | | \$710 | 710.00 |
| TOTAL | | | | | | 710.00 |
| SMALL ENTITY 50% FILING FEE REDUCTION (if applicable) | | | | | | |

- ☒ Check No. 12185 in the amount of \$710.00 is enclosed.
- ☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. LAM1P124D1).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. LAM1P124D1).

- ☒ Please send correspondence to the following address:

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Date: 8/30/2001

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